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			IG UNDER 35 U.S.C. 371	10/049414		
	NATIONAL AP L/AU00/0089	PLICATION NO. 98	INTERNATIONAL FILING DATE 28 July 2000	PRIORITY DATE CLAIMED 10. August 1999		
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		DO/EO/US Neale TAYLOR				
Applica	nt herewith subr	nits to the United Sta	tes Designated/Elected Office (DO/EO/US)	the following items and other information:		
	1. X This is a FIRST submission of items concerning a filing under 35 U.S.C. 371.					
	2. This is a SECOND or SUBSEQUENT submission of items concerning a filing under 35 U.S.C. 371.					
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			s) or information included:			
11.			at under 37 CFR 1.97 and 1.98.			
12.	An assignment	document for record	ing. A separate cover sheet in compliance w	rith 37 CFR 3.28 and 3.31 is included.		
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DOCKET: CU-2825

#### IN THE UNITED STATES PATENT & TRADEMARK OFFICE

APPLICA	NT: Scott Phillip Neale TAYLOR	
TITLE:	A VEHICLE WITH A STEERABLE WHEELSET	
COMPLET	TION OF PCT/AU00/00898 filed 28 July 2000	

The Commissioner for Patents (DO/EO/US) Box PCT Washington, D.C. 20231

#### PRELIMINARY AMENDMENT

Dear Sir:

Please amend the application being filed herewith under 35 USC 371.

#### IN THE CLAIMS:

Please cancel claims 1-12 from the PCT application as filed as well as claims 1-13 from the claims attached to the International Preliminary Examination Report and substitute new claims 14-48 as attached to the substitute specification.

#### REMARKS

The aforesaid claims are based on the claims attached to the International Preliminary Examination Report, with amendments to place the same in better condition for examination under U.S. rules of practice.

A substitute specification is enclosed and is based on the following materials:

- Pages 1-3 of the PCT application as filed
- Page 4 as attached to the International Preliminary Examination Report
- Pages 5-10 of the PCT application as filed
- New claims 14-48 as referenced above
- The abstract as filed in the PCT international application
- 7 sheets of drawings as filed in the PCT international application

Favorable consideration of this application is respectfully requested.

February 8, 2002

Date

Respectfully submitted

Attorney for Applicant

Richard J. Strait, Reg. 25765 c/o Ladas & Parry 224 South Michigan Avenue Chicago, Illinois 60604 (312) 427-1300

L 698 184378 10/049414 JC11 Rec'd PCT/PTO 08 FEB 2002

Scott Phillip Neal TAYLOR
US Completion of PCT/AU00/00898
A VEHICLE WITH A STEERABLE WHEELSET

Docket: CU-2825

# **SUBSTITUTE SPECIFICATION**

PCT/AU00/00898

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## A VEHICLE WITH A STEERABLE WHEELSET

#### **TECHNICAL FIELD**

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This invention relates to a vehicle with a steerable wheelset. Whilst the invention is primarily described with an embodiment particularly suited for use with Automated Guideway Transit (AGT) systems of the type which use small, individual vehicles, capable of operating at high speeds, the present invention is also suitable for use with a variety of other rail or guideway systems.

#### **BACKGROUND**

There are a number of known vehicles adapted to travel on rail or guideway systems which have steerable wheelsets.

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One such system is disclosed in US Patent 4,982,671 (Chollet et al), and relates to a track guided vehicle. Such a vehicle is supported on bogies, where each bogie contains two wheelsets. Magnetic (or other) sensors are used to detect the lateral position of the bogie with respect to the track on which it is running. At least one sensor detects the angle between the two wheelsets. The two wheelsets are connected via linkages and actuators, such that the angle between the wheelsets can be altered to steer the bogie. A servo-control circuit receives signals from the sensors and controls the actuators to steer the wheelsets in response to the detected lateral position of the bogie.

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Another known system is disclosed in European Patent 374,290 (Girod et al), and relates to a track guided vehicle. Such a vehicle comprises four wheels that can be independently steered. Laser sensors, located at the front and rear of the vehicle, are used to detect the difference between the track centreline and the vehicle longitudinal axis. A servo-control mechanism controls the steering actuators in order to steer the wheels in response to the sensed signals.

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A disadvantage of both of these arrangements is that the lateral forces at the wheel-rail contact zone must serve a dual function, namely to steer the bogie and to oppose any lateral force, such as the centrifugal force experienced by a vehicle while cornering. Consequently the force available for steering the bogie is limited to the difference between the total available force and that already being used to oppose any external lateral forces. In a rail application where a steel wheel rolls on a steel rail, the total available force may be very low. This available force may be substantially required to react centrifugal force, with very little remaining force available to steer the wheelset leading to frequent contact between the wheel flanges and the rails.

A further known system is disclosed in US Patent 5,730,064 (Bishop), and relates to a self-steering bogie for track guided vehicle. The wheelsets are arranged such that a curvature in the rail generates a twist angle between the two wheelsets in the bogie when viewed in end elevation. The mechanism connecting the two wheelsets is arranged so as to steer the wheelsets, in response to rail curvature. A disadvantage of this arrangement when applied to small vehicle guideway systems, which typically use much sharper curves than normal rail systems, is the steer error resulting from twist angle supplied by rapidly changing superelevation. This may add to or subtract from the ideal steering angle required, causing the wheelset to deviate from its idealised path.

25 Preferably the present invention overcomes the above mentioned disadvantages by providing a vehicle with a steerable wheelset in which the effect of lateral or disturbing forces on the vehicle is minimised.

#### **SUMMARY OF INVENTION**

In one aspect the present invention is a vehicle with at least one steerable wheelset adapted to run on a guideway having two primary running faces laterally offset about

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a guideway centreline, the wheelset comprising a pair of wheels, each wheel located on opposite sides of the wheelset adapted to

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engage with a respective one of the two primary running faces, the vehicle further comprising sensing means for sensing lateral displacement of the wheelset with respect to a longitudinally disposed reference path, the sensing means producing a signal for a control system operably connected to an actuating means to steer the wheels in response to the sensed lateral displacement, **characterised in that** the axes of rotation of the wheels and the primary running faces are inclined downwardly towards the guideway centreline.

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In a first embodiment each wheel exerts an engagement force with its respective primary running face, the engagement force on each wheel comprising a perpendicular component to its respective primary running face and a parallel component to its respective primary running face substantially transverse to the guideway centreline, wherein horizontal forces acting on the wheelset substantially perpendicular to the guideway centreline are substantially resisted by the sum of of the horizontal vectors of the perpendicular components.

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In a second embodiment embodiment each wheel exerts an engagement force with its respective primary running face at a contact zone, the engagement force on each wheel comprising a first component perpendicular to its respective primary running face and a second component parallel to its respective primary running face substantially transverse to the guideway centreline, wherein a first plane perpendicular to the axis of rotation of one of the wheels passes through its respective contact zone, and a second plane perpendicular to the axis of rotation of the other wheel passes through its respective contact zone, the first and second planes intersecting along an intersection line disposed above and between the wheels, wherein horizontal forces acting on the wheelset substantially transverse to

Preferably the intersection line passes through the centre of gravity of vehicle.

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- **3 10** It is preferred that the sensing means comprises at least one sensor located either ahead or behind the wheelset, or laterally offset with the wheelset. Alternatively the sensing means comprises at least two sensors, one of which is located ahead of the of the following the second with any second with a sec wheelset and the other is located behind the wheelset.
  - It is preferred that the longitudinally disposed reference path is substantially contiguous with the guideway centreline.

Alternatively, it is preferred that the longitudinally disposed reference path is substantially parallel to, but laterally offset from the guideway centreline.

It is preferred that a secondary running face lies immediately adjacent to, and substantially parallel to, at least one primary running face.

It is preferred that the longitudinally disposed reference path is contiguous with the 25 second running face.

Alternatively, it is preferred that a secondary running face lies immediately adjacent to and substantially parallel to each primary running face and the longitudinally disposed reference path is contiguous with the lateral centreline between the respective two secondary running faces.

AMENDED SHEET

It is preferred that at least one of the wheels also incorporates a flange, adapted to engage with the secondary running face.

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It is preferred that the control system calculates a virtual longitudinally disposed reference path which is not necessarily parallel or contiguous with the guideway centreline.

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#### **BRIEF DESCRIPTION OF DRAWINGS**

Figure 1 is an example of a vehicle according to the prior art, with two steerable wheelsets and incorporating steering sensors, actuators and a controller;

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Figure 2 is a wheelset as found in the vehicle in Figure 1, showing the forces acting at the wheel-to-guideway running faces;

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Figure 3 is a graph representing a typical relationship between side-force and slip angle for a wheel of the wheelset in Figure 2, and showing the force available for steering the wheels;

Figure 4 shows a schematic representation of a vehicle in accordance with a first embodiment of the present invention;

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Figure 5 shows a schematic representation of a vehicle as shown in figure 4 when the vehicle is in a turn;

Figure 6 is a wheelset of the vehicle as shown in Figures 4-5, showing the forces acting at the wheel-to-guideway running faces;

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Figure 7 is a graph similar to Figure 3, showing the force substantially available to steer the wheels in accordance with the first embodiment of the present invention;

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Figure 8 is an illustration of the forces which act on the wheelset of the vehicle shown in Figure 6.

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Figure 9 is a wheelset and rails as described in a second embodiment of the present invention;

Figure 10 shows a wheelset which is following a longitudinally disposed reference path other than the guideway centreline or secondary running face, according to a third embodiment of the present invention.

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#### MODE OF CARRYING OUT THE INVENTION

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Figures 1 and 2 show a vehicle running on a guideway (or track) of the type described in prior art. Such a vehicle incorporates two steerable wheelsets 1, attached to a vehicle body 2, and each wheelset 1 comprising axle 10 and two wheels 12. Steering actuators 3, are used to control the angle of the wheels with respect to the body. Sensors 4, detect the path error between the vehicle and guideway 5. A controller 6, processes the signals from the sensors and provides a control output to steering actuators 3. Upon detecting a path error, wheelsets 1 are steered in order to minimise the error.

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In such a vehicle, axles 10 are substantially horizontal, as shown in Figure 2. When a lateral force F is applied to the vehicle body 2, it is reacted by the wheel-to-guideway engagement forces. These reaction forces can be resolved into perpendicular components,  $A_N$  and  $B_N$ , and parallel components,  $A_T$ ,  $B_T$ . When a wheel is steered at an angle to its heading, generating a slip angle, small levels of slip at its contact zone generate a lateral force ( $A_T$ ,  $B_T$ ). This lateral force is related

to this slip angle, with a typical relationship of the form shown in the graph of Figure 3. Such a relationship depends on both the wheel and guideway materials, along with their surface texture and lubrication. The available side force reaches a maximum at a slip angle  $\delta_1$ , beyond which no additional side force is available. In the example shown in Figure 2, wheelset 1 is steered so that lateral force F is reacted by a combination of  $A_T$  and  $B_T$  where  $A_T$  is equal to  $C_1$  as shown graphically in Figure 3. To generate a force  $C_1$  wheelset 1 must be steered so that wheel 12 generates a slip angle  $\delta_0$  to its heading. Only the remaining force  $C_2$  is available to steer wheelset 1. If the required side force exceeds  $C_2$ , steering control is lost, the wheel slides in the direction of force F and is unable to follow a desired path. In such an event, the wheelset must rely on other means, such as wheel flanges, to ensure it remains safely on the guideway.

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Figures 4 to 6 show a first embodiment of a vehicle according to the present invention comprising steerable wheelsets 21, each comprising axle 26 and two wheels 15 running on primary running faces 54 of guideway 19, attached to vehicle body 16. Steering actuators 17, are used to control the angle of wheelsets 21 with respect to vehicle body 16. Sensors 18, detect the lateral displacement between the vehicle and guideway 19. Controller 20 processes the signals from sensors 18, and provides an output to the steering actuators as a function of the lateral displacement of wheelset 21 with respect to guideway centreline 39. Upon detecting a lateral displacement error, wheelsets 21 are steered in order to minimise the error.

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As shown in Figure 6, axes of rotation 28 of wheels 15 (mounted to stub axles 25) are inclined downwardly towards guideway centreline 39, as are primary running faces 54 at the wheel-to-guideway rolling interface. When a lateral force F is similarly applied to vehicle body 16, it is reacted by the wheel-to-guideway engagement forces. These can be resolved into first perpendicular components,  $P_N$  and  $Q_N$  and second parallel components,  $P_T$  and  $Q_T$ . Each of these has a

component parallel to the applied lateral force F, and in combination react against this force.

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On entering a turn, sensors 18 detect the deviation of the vehicle from guideway centreline 39, and controller 20 responds by steering wheelset 21 in the direction to reduce the deviation to zero. The resulting slip angle  $\delta$  produces lateral forces at the wheel-to-guideway interface, causing the vehicle to accelerate toward the instantaneous centre of curvature. The centrifugal force F, acting on the centre of gravity 50 of the vehicle, is substantially reacted by an increase in the normal force,  $P_N$ , on the outer wheel, rather than an increase of the tangential forces,  $P_T$  and  $Q_T$ . If  $P_T$  and  $Q_T$  are small, then the wheels do not need to be operating at a very large slip angle  $\delta_0$  as shown in Figure 7. As a result, most of the maximum available tangential force,  $C_2$ , can be used to steer wheelset 21 and maintain its alignment with guideway centreline 39.

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It is preferred that vehicle centre of gravity 50 and wheels 15 are arranged such that centre of gravity 50 is near the intersection line 52 of wheel planes 51. In this configuration, the centrifugal forces or external disturbance forces acting on centre of gravity 50, are substantially resisted by an increase in the normal force,  $P_N$ , on the outer wheel, and corresponding decrease in the normal force  $Q_N$  on the inner wheel. As shown in Fig 8., the difference between the horizontal component  $P_H$  of  $P_N$  and the horizontal component  $Q_H$  of  $Q_N$ , substantially resists the sum of the centrifugal or external disturbance force F.

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Figure 9 depicts a second embodiment of the present invention, where the vehicle has a wheelset 21 comprising wheels 15 adapted to run on a guideway in the form of rails 19. Sensors 18 detect the proximity  $d_1$ ,  $d_2$  of the respective wheel 15 to the respective secondary running face 38 on rail 19. Sensed proximities  $d_1$ ,  $d_2$  are

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averaged to generate the lateral position of the centreline 49 of the wheelset 21, with respect to the guideway centreline 39. In this embodiment each of the wheels 15 have a respective flange 37. Flange 37 engages with respective secondary running face 38 on rail 19 in the event of a steering failure, or excessive side load imparted on the vehicle via lateral acceleration or side wind loads. In other not shown embodiments, sensors 18 may detect the proximity of the wheels to some other feature on rail 19.

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In a third embodiment of the invention as shown in Figure 10, sensors 18 may sense a different path to that of guideway running faces 40. In this embodiment a longitudinally disposed reference path 41, corresponding to the guideway centreline 39, is used. However, it should be understood that such a path may physically lie between guideway running faces 40, as depicted by phantom lines as reference path 41a and sensor 18a, or outside guideway running faces 40, as depicted by phantom lines as reference path 41b and sensor 18b. Alternatively the reference path may be a virtual path, bearing some predetermined varying relationship to the guideway running faces 40.

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In other not shown embodiments other means of supporting and steering the wheels may be used. These include steering of individual wheels about individual steering axes, rather than steering complete wheelset 21. Sensors 18, are attached to wheelset 21, and sense its lateral displacement with respect to each primary running face 54 of guideway 19 and hence with respect to guideway centreline 39. Sensors 18 are preferably located ahead of wheelset 21 and are connected to controller 20. In other not shown embodiments, sensors 18 may be located ahead, beside, and/or even behind the wheels.

30 Sensors 18, controller 20 and actuators 17 may include hydraulic or electrical devices and combinations thereof.

It will be recognised by persons skilled in the art that numerous variations and modifications may be made to the invention without departing from the spirit and scope of the invention.

#### CLAIMS:

- 14. A vehicle with at least one steerable wheelset adapted to run on a guideway having two primary running faces laterally offset about a guideway centerline, the wheelset comprising a pair of wheels, each wheel located on opposite sides of the wheelset adapted to engage with a respective one of the two primary running faces, the vehicle further comprising sensing means for sensing lateral displacement of the wheelset with respect to a longitudinally disposed reference path, the sensing means producing a signal for a control system operably connected to an actuating means to steer the wheels in response to the sensed lateral displacement, the axes of rotation of the wheels and the primary running faces are inclined downwardly toward the guideway centerline.
- 15. A vehicle as claimed in Claim 14, wherein each wheel exerts an engagement force with its respective primary running face, the engagement force on each wheel comprising a perpendicular component to its respective primary running face and a parallel component to its respective primary running face substantially perpendicular to the guideway centerline, wherein horizontal forces acting on the wheelset substantially transversed to the guideway centerline are substantially resisted by the sum of the horizontal vectors of the perpendicular components.
- 16. A vehicle as claimed in Claim 14, wherein each wheel exerts an engagement force with its respective primary running face at a contact zone, the engagement force on each wheel comprising a first component perpendicular to is respective primary running face and a second component parallel to its respective primary running face substantially transverse to the guideway centerline, wherein a first plane perpendicular to the axis of rotation of one of the wheels passes through the centroid of its respective contact zone, and a second plane perpendicular to the axis if rotation of the other wheel passes through the centroid of its respective contact zone, the first and second planes intersecting along an intersection line disposed above and between the wheels, wherein horizontal forces acting on the wheelset substantially transverse to the guideway centerline at or near the intersection line

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are substantially resisted by perpendicular components of the engagement forces acting at the primary running faces, such that substantially all of the parallel components of the engagement forces acting at the primary running faces are available to steer the wheelset.

- 17. A vehicle as claimed in Claim 16, wherein the intersection line passes through the center of gravity of vehicle.
- 18. A vehicle as claimed in Claim 14, wherein the sensing means comprises at least one sensor located either ahead or behind the wheelset, or laterally offset with the wheelset.
- 19. A vehicle as claimed in Claim 15, wherein the sensing means comprises at least one sensor located either ahead or behind the wheelset, or laterally offset with the wheelset.
- 20. A vehicle as claimed in Claim 16, wherein the sensing means comprises at least one sensor located either ahead or behind the wheelset, or laterally offset with the wheelset.
- 21. A vehicle as claimed in Claim 14, wherein the sensing means comprises at least two sensors, one of which is located ahead of the wheelset and the other is located behind the wheelset.
- 22. A vehicle as claimed in Claim 15, wherein the sensing means comprises at least two sensors, one of which is located ahead of the wheelset and the other is located behind the wheelset.
- 23. A vehicle as claimed in Claim 16, wherein the sensing means comprises at least two sensors, one of which is located ahead of the wheelset and the other is located behind the wheelset.

- 24. A vehicle as claimed in Claim 14, wherein the longitudinally disposed reference path is substantially contiguous with the guideway centerline.
- 25. A vehicle as claimed in Claim 15, wherein the longitudinally disposed reference path is substantially contiguous with the guideway centerline.
- 26. A vehicle as claimed in Claim 16, wherein the longitudinally disposed reference path is substantially contiguous with the guideway centerline.
- 27. A vehicle as claimed in Claim 14, wherein the longitudinally disposed reference path is substantially parallel to, but laterally offset from the guideway centerline.
- 28. A vehicle as claimed in Claim 15, wherein the longitudinally disposed reference path is substantially parallel to, but laterally offset from the guideway centerline.
- 29. A vehicle as claimed in Claim 16, wherein the longitudinally disposed reference path is substantially parallel to, but laterally offset from the guideway centerline.
- 30. A vehicle as claimed in Claim 14, wherein a secondary running face lies immediately adjacent to, and substantially parallel to, at least one of the primary running faces.
- 31. A vehicle as claimed in Claim 15, wherein a secondary running face lies immediately adjacent to, and substantially parallel to, at least one of the primary running faces.
- 32. A vehicle as claimed in Claim 16, wherein a secondary running face lies immediately adjacent to, and substantially parallel to, at least one of the primary running faces.

- 33. A vehicle as claimed in Claim 30, wherein the longitudinally disposed reference path is contiguous with the second running face.
- 34. A vehicle as claimed in Claim 31, wherein the longitudinally disposed reference path is contiguous with the second running face.
- 35. A vehicle as claimed in Claim 32, wherein the longitudinally disposed reference path is contiguous with the second running face.
- 36. A vehicle as claimed in Claim 14, wherein a secondary running face lies immediately adjacent to and substantially parallel to each primary running face and the longitudinally disposed reference path is contiguous with the lateral centerline between the respective two secondary running faces.
- 37. A vehicle as claimed in Claim 15, wherein a secondary running face lies immediately adjacent to and substantially parallel to each primary running face and the longitudinally disposed reference path is contiguous with the lateral centerline between the respective two secondary running faces.
- 38. A vehicle as claimed in Claim 16, wherein a secondary running face lies immediately adjacent to and substantially parallel to each primary running face and the longitudinally disposed reference path is contiguous with the lateral centerline between the respective two secondary running faces.
- 39. A vehicle as claimed in Claim 30, wherein at least one of the wheels also incorporates a flange, adapted to engage with the secondary running face.
- 40. A vehicle as claimed in Claim 31, wherein at least one of the wheels also incorporates a flange, adapted to engage with the secondary running face.

- 41. A vehicle as claimed in Claim 32, wherein at least one of the wheels also incorporates a flange, adapted to engage with the secondary running face.
- 42. A vehicle as claimed in Claim 33, wherein at least one of the wheels also incorporates a flange, adapted to engage with the secondary running face.
- 43. A vehicle as claimed in Claim 34, wherein at least one of the wheels also incorporates a flange, adapted to engage with the secondary running face.
- 44. A vehicle as claimed in Claim 35, wherein at least one of the wheels also incorporates a flange, adapted to engage with the secondary running face.
- 45. A vehicle as claimed in Claim 36, wherein at least one of the wheels also incorporates a flange, adapted to engage with the secondary running face.
- 46. A vehicle as claimed in Claim 37, wherein at least one of the wheels also incorporates a flange, adapted to engage with the secondary running face.
- 47. A vehicle as claimed in Claim 38, wherein at least one of the wheels also incorporates a flange, adapted to engage with the secondary running face.
- 48. A vehicle as claimed in Claim 14, wherein the control system calculates a virtual longitudinally disposed reference path which is not necessarily parallel or contiguous with the guideway centerline.

### A VEHICLE WITH A STEERABLE WHEELSET

#### **TECHNICAL FIELD**

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This invention relates to a vehicle with a steerable wheelset. Whilst the invention is primarily described with an embodiment particularly suited for use with Automated Guideway Transit (AGT) systems of the type which use small, individual vehicles, capable of operating at high speeds, the present invention is also suitable for use with a variety of other rail or guideway systems.

#### BACKGROUND

There are a number of known vehicles adapted to travel on rail or guideway systems which have steerable wheelsets.

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One such system is disclosed in US Patent 4,982,671 (Chollet et al), and relates to a track guided vehicle. Such a vehicle is supported on bogies, where each bogie contains two wheelsets. Magnetic (or other) sensors are used to detect the lateral position of the bogie with respect to the track on which it is running. At least one sensor detects the angle between the two wheelsets. The two wheelsets are connected via linkages and actuators, such that the angle between the wheelsets can be altered to steer the bogie. A servo-control circuit receives signals from the sensors and controls the actuators to steer the wheelsets in response to the detected lateral position of the bogie.

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Another known system is disclosed in European Patent 374,290 (Girod et al), and relates to a track guided vehicle. Such a vehicle comprises four wheels that can be independently steered. Laser sensors, located at the front and rear of the vehicle, are used to detect the difference between the track centreline and the vehicle longitudinal axis. A servo-control mechanism controls the steering actuators in order to steer the wheels in response to the sensed signals.

A disadvantage of both of these arrangements is that the lateral forces at the wheel-rail contact zone must serve a dual function, namely to steer the bogie and to oppose any lateral force, such as the centrifugal force experienced by a vehicle while cornering. Consequently the force available for steering the bogie is limited to the difference between the total available force and that already being used to oppose any external lateral forces. In a rail application where a steel wheel rolls on a steel rail, the total available force may be very low. This available force may be substantially required to react centrifugal force, with very little remaining force available to steer the wheelset leading to frequent contact between the wheel flanges and the rails.

A further known system is disclosed in US Patent 5,730,064 (Bishop), and relates to a self-steering bogie for track guided vehicle. The wheelsets are arranged such that a curvature in the rail generates a twist angle between the two wheelsets in the bogie when viewed in end elevation. The mechanism connecting the two wheelsets is arranged so as to steer the wheelsets, in response to rail curvature. A disadvantage of this arrangement when applied to small vehicle guideway systems, which typically use much sharper curves than normal rail systems, is the steer error resulting from twist angle supplied by rapidly changing superelevation. This may add to or subtract from the ideal steering angle required, causing the wheelset to deviate from its idealised path.

25 Preferably the present invention overcomes the above mentioned disadvantages by providing a vehicle with a steerable wheelset in which the effect of lateral or disturbing forces on the vehicle is minimised.

#### SUMMARY OF INVENTION

In one aspect the present invention is a vehicle with at least one steerable wheelset adapted to run on a guideway having two primary running faces laterally offset about

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a guideway centreline, the wheelset comprising a pair of wheels, each wheel located on opposite sides of the wheelset adapted to

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engage with a respective one of the two primary running faces, the vehicle further comprising sensing means for sensing lateral displacement of the wheelset with respect to a longitudinally disposed reference path, the sensing means producing a signal for a control system operably connected to an actuating means to steer the wheels in response to the sensed lateral displacement, **characterised in that** the axes of rotation of the wheels and the primary running faces are inclined downwardly towards the guideway centreline.

In a first embodiment each wheel exerts an engagement force with its respective primary running face, the engagement force on each wheel comprising a perpendicular component to its respective primary running face and a parallel component to its respective primary running face substantially transverse to the guideway centreline, wherein horizontal forces acting on the wheelset substantially perpendicular to the guideway centreline are substantially resisted by the sum of of the horizontal vectors of the perpendicular components.

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In a second embodiment embodiment each wheel exerts an engagement force with its respective primary running face at a contact zone, the engagement force on each wheel comprising a first component perpendicular to its respective primary running face and a second component parallel to its respective primary running face substantially transverse to the guideway centreline, wherein a first plane perpendicular to the axis of rotation of one of the wheels passes through its respective contact zone, and a second plane perpendicular to the axis of rotation of the other wheel passes through its respective contact zone, the first and second planes intersecting along an intersection line disposed above and between the wheels, wherein horizontal forces acting on the wheelset substantially transverse to

the guideway centreline at or near the intersection line are substantially resisted by perpendicular components of the engagement forces acting at the primary running faces, such that substantially all of the parallel components of the engagement forces acting at the primary running faces are available to steer the wheelset.

Preferably the intersection line passes through the centre of gravity of vehicle.

It is preferred that the sensing means comprises at least one sensor located either ahead or behind the wheelset, or laterally offset with the wheelset. Alternatively the sensing means comprises at least two sensors, one of which is located ahead of the wheelset and the other is located behind the wheelset.

It is preferred that the longitudinally disposed reference path is substantially contiguous with the guideway centreline.

Alternatively, it is preferred that the longitudinally disposed reference path is substantially parallel to, but laterally offset from the guideway centreline.

It is preferred that a secondary running face lies immediately adjacent to, and substantially parallel to, at least one primary running face.

It is preferred that the longitudinally disposed reference path is contiguous with the second running face.

Alternatively, it is preferred that a secondary running face lies immediately adjacent to and substantially parallel to each primary running face and the longitudinally disposed reference path is contiguous with the lateral centreline between the respective two secondary running faces.

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centreline.

It is preferred that at least one of the wheels also incorporates a flange, adapted to

engage with the secondary running face.

It is preferred that the control system calculates a virtual longitudinally disposed

reference path which is not necessarily parallel or contiguous with the guideway

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#### **BRIEF DESCRIPTION OF DRAWINGS**

Figure 1 is an example of a vehicle according to the prior art, with two steerable wheelsets and incorporating steering sensors, actuators and a controller;

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Figure 2 is a wheelset as found in the vehicle in Figure 1, showing the forces acting at the wheel-to-guideway running faces;

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Figure 3 is a graph representing a typical relationship between side-force and slip angle for a wheel of the wheelset in Figure 2, and showing the force available for steering the wheels;

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Figure 4 shows a schematic representation of a vehicle in accordance with a first embodiment of the present invention;

Figure 5 shows a schematic representation of a vehicle as shown in figure 4 when the vehicle is in a turn;

Figure 6 is a wheelset of the vehicle as shown in Figures 4-5, showing the forces acting at the wheel-to-guideway running faces;

Figure 7 is a graph similar to Figure 3, showing the force substantially available to steer the wheels in accordance with the first embodiment of the present invention;

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Figure 8 is an illustration of the forces which act on the wheelset of the vehicle shown in Figure 6.

Figure 9 is a wheelset and rails as described in a second embodiment of the present invention;

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Figure 10 shows a wheelset which is following a longitudinally disposed reference path other than the guideway centreline or secondary running face, according to a third embodiment of the present invention.

#### MODE OF CARRYING OUT THE INVENTION

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Figures 1 and 2 show a vehicle running on a guideway (or track) of the type described in prior art. Such a vehicle incorporates two steerable wheelsets 1, attached to a vehicle body 2, and each wheelset 1 comprising axle 10 and two wheels 12. Steering actuators 3, are used to control the angle of the wheels with respect to the body. Sensors 4, detect the path error between the vehicle and guideway 5. A controller 6, processes the signals from the sensors and provides a control output to steering actuators 3. Upon detecting a path error, wheelsets 1 are steered in order to minimise the error.

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In such a vehicle, axles 10 are substantially horizontal, as shown in Figure 2. When a lateral force F is applied to the vehicle body 2, it is reacted by the wheel-toguideway engagement forces. These reaction forces can be resolved into perpendicular components, A<sub>N</sub> and B<sub>N</sub>, and parallel components, A<sub>T</sub>, B<sub>T</sub>. When a wheel is steered at an angle to its heading, generating a slip angle, small levels of slip at its contact zone generate a lateral force (A<sub>T</sub>, B<sub>T</sub>). This lateral force is related

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to this slip angle, with a typical relationship of the form shown in the graph of Figure 3. Such a relationship depends on both the wheel and guideway materials, along with their surface texture and lubrication. The available side force reaches a maximum at a slip angle  $\delta_1$ , beyond which no additional side force is available. In the example shown in Figure 2, wheelset 1 is steered so that lateral force F is reacted by a combination of  $A_T$  and  $B_T$  where  $A_T$  is equal to  $C_1$  as shown graphically in Figure 3. To generate a force  $C_1$  wheelset 1 must be steered so that wheel 12 generates a slip angle  $\delta_0$  to its heading. Only the remaining force  $C_2$  is available to steer wheelset 1. If the required side force exceeds  $C_2$ , steering control is lost, the wheel slides in the direction of force F and is unable to follow a desired path. In such an event, the wheelset must rely on other means, such as wheel flanges, to ensure it remains safely on the guideway.

Figures 4 to 6 show a first embodiment of a vehicle according to the present invention comprising steerable wheelsets 21, each comprising axle 26 and two wheels 15 running on primary running faces 54 of guideway 19, attached to vehicle body 16. Steering actuators 17, are used to control the angle of wheelsets 21 with respect to vehicle body 16. Sensors 18, detect the lateral displacement between the vehicle and guideway 19. Controller 20 processes the signals from sensors 18, and provides an output to the steering actuators as a function of the lateral displacement of wheelset 21 with respect to guideway centreline 39. Upon detecting a lateral displacement error, wheelsets 21 are steered in order to minimise the error.

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As shown in Figure 6, axes of rotation 28 of wheels 15 (mounted to stub axles 25) are inclined downwardly towards guideway centreline 39, as are primary running faces 54 at the wheel-to-guideway rolling interface. When a lateral force F is similarly applied to vehicle body 16, it is reacted by the wheel-to-guideway engagement forces. These can be resolved into first perpendicular components,  $P_N$  and  $Q_N$  and second parallel components,  $P_T$  and  $Q_T$ . Each of these has a

component parallel to the applied lateral force F, and in combination react against this force.

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On entering a turn, sensors 18 detect the deviation of the vehicle from guideway centreline 39, and controller 20 responds by steering wheelset 21 in the direction to reduce the deviation to zero. The resulting slip angle  $\delta$  produces lateral forces at the wheel-to-guideway interface, causing the vehicle to accelerate toward the instantaneous centre of curvature. The centrifugal force F, acting on the centre of gravity 50 of the vehicle, is substantially reacted by an increase in the normal force,  $P_N$ , on the outer wheel, rather than an increase of the tangential forces,  $P_T$  and  $Q_T$ . If  $P_T$  and  $Q_T$  are small, then the wheels do not need to be operating at a very large slip angle  $\delta_0$  as shown in Figure 7. As a result, most of the maximum available tangential force, C2, can be used to steer wheelset 21 and maintain its alignment with guideway centreline 39.

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It is preferred that vehicle centre of gravity 50 and wheels 15 are arranged such that centre of gravity 50 is near the intersection line 52 of wheel planes 51. In this configuration, the centrifugal forces or external disturbance forces acting on centre of gravity 50, are substantially resisted by an increase in the normal force,  $P_{\text{N}}$ , on the outer wheel, and corresponding decrease in the normal force  $Q_N$  on the inner wheel. As shown in Fig 8., the difference between the horizontal component  $P_H$  of  $P_N$  and the horizontal component  $Q_{\text{H}}$  of  $Q_{\text{N}_{\text{c}}}$  substantially resists the sum of the centrifugal or external disturbance force F.

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Figure 9 depicts a second embodiment of the present invention, where the vehicle has a wheelset 21 comprising wheels 15 adapted to run on a guideway in the form of rails 19. Sensors 18 detect the proximity d<sub>1</sub>, d<sub>2</sub> of the respective wheel 15 to the respective secondary running face 38 on rail 19. Sensed proximities d<sub>1</sub>, d<sub>2</sub> are

averaged to generate the lateral position of the centreline 49 of the wheelset 21, with respect to the guideway centreline 39. In this embodiment each of the wheels 15 have a respective flange 37. Flange 37 engages with respective secondary running face 38 on rail 19 in the event of a steering failure, or excessive side load imparted on the vehicle via lateral acceleration or side wind loads. In other not shown embodiments, sensors 18 may detect the proximity of the wheels to some other feature on rail 19.

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In a third embodiment of the invention as shown in Figure 10, sensors 18 may sense a different path to that of guideway running faces 40. In this embodiment a longitudinally disposed reference path 41, corresponding to the guideway centreline 39, is used. However, it should be understood that such a path may physically lie between guideway running faces 40, as depicted by phantom lines as reference path 41a and sensor 18a, or outside guideway running faces 40, as depicted by phantom lines as reference path 41b and sensor 18b. Alternatively the reference path may be a virtual path, bearing some predetermined varying relationship to the guideway running faces 40.

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In other not shown embodiments other means of supporting and steering the wheels may be used. These include steering of individual wheels about individual steering axes, rather than steering complete wheelset 21. Sensors 18, are attached to wheelset 21, and sense its lateral displacement with respect to each primary running face 54 of guideway 19 and hence with respect to guideway centreline 39. Sensors 18 are preferably located ahead of wheelset 21 and are connected to controller 20. In other not shown embodiments, sensors 18 may be located ahead, beside, and/or even behind the wheels.

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Sensors 18, controller 20 and actuators 17 may include hydraulic or electrical devices and combinations thereof.

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It will be recognised by persons skilled in the art that numerous variations and modifications may be made to the invention without departing from the spirit and scope of the invention.

#### **CLAIMS:**

- A vehicle with at least one steerable wheelset adapted to run on a guideway having two primary running faces laterally offset about a guideway centreline, the wheelset comprising a pair of wheels, each wheel located on opposite sides of the wheelset adapted to engage with a respective one of the two primary running faces, the vehicle further comprising sensing means for
   sensing lateral displacement of the wheelset with respect to a longitudinally disposed reference path, the sensing means producing a signal for a control system operably connected to an actuating means to steer the wheels in response to the sensed lateral displacement, characterised in that the axes of rotation of the wheels and the primary running faces are inclined downwardly towards the guideway centreline.
  - 2. A vehicle as claimed in claim 1, wherein each wheel exerts an engagement force with its respective primary running face, the engagement force on each wheel comprising a perpendicular component to its respective primary running face and a parallel component to its respective primary running face substantially perpendicular to the guideway centreline, wherein horizontal forces acting on the wheelset substantially transverse to the guideway centreline are substantially resisted by the sum of the horizontal vectors of the perpendicular components.
  - 3. A vehicle as claimed in claim 1, wherein each wheel exerts an engagement force with its respective primary running face at a contact zone, the engagement force on each wheel comprising a first component perpendicular to its respective primary running face and a second component parallel to its respective primary running face substantially transverse to the guideway centreline, wherein a first plane perpendicular to the axis of rotation of one of the wheels passes through the centroid of its respective contact zone, and a

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[] [] 20 [] second plane perpendicular to the axis of rotation of the other wheel passes through the centroid of its respective contact zone, the first and second planes intersecting along an intersection line disposed above and between the wheels, wherein horizontal forces acting on the wheelset substantially transverse to the guideway centreline at or near the intersection line are substantially resisted by perpendicular components of the engagement forces acting at the primary running faces, such that substantially all of the parallel components of the engagement forces acting at the primary running faces are available to steer the wheelset.

- 4. A vehicle as claimed in claim 3, wherein the intersection line passes through the centre of gravity of vehicle.
- A vehicle as claimed in claims 1 to 3, wherein the sensing means comprises
  at least one sensor located either ahead or behind the wheelset, or laterally
  offset with the wheelset.
- 6. A vehicle as claimed in claims 1 to 3, wherein the sensing means comprises at least two sensors, one of which is located ahead of the wheelset and the other is located behind the wheelset.
- 7. A vehicle as claimed in claims 1 to 3, wherein the longitudinally disposed reference path is substantially contiguous with the guideway centreline.
  - 8. A vehicle as claimed in claims 1 to 3, wherein the longitudinally disposed reference path is substantially parallel to, but laterally offset from the guideway centreline.

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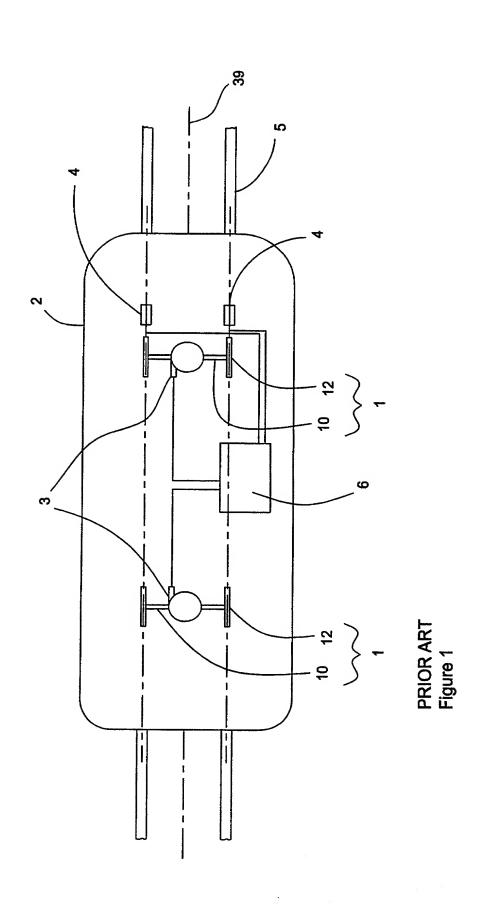
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- A vehicle as claimed in claims 1 to 3, wherein a secondary running face lies immediately adjacent to, and substantially parallel to, at least one of the primary running faces.
- 10. A vehicle as claimed in claim 9, wherein the longitudinally disposed reference path is contiguous with the second running face.
- 10 11. A vehicle as claimed in claims 1 to 3, wherein a secondary running face lies immediately adjacent to and substantially parallel to each primary running face and the longitudinally disposed reference path is contiguous with the lateral centreline between the respective two secondary running faces.
  - 12. A vehicle as claimed in claims 9 to 11, wherein at least one of the wheels also incorporates a flange, adapted to engage with the secondary running face.
  - 13. A vehicle as claimed in claim 1, wherein the control system calculates a virtual longitudinally disposed reference path which is not necessarily parallel or contiguous with the guideway centreline.

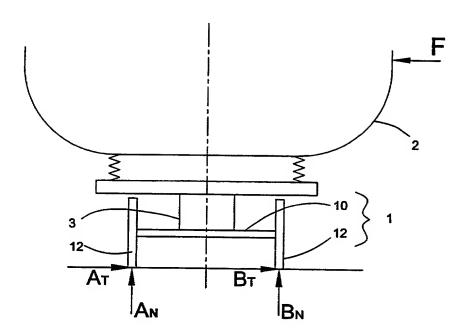
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#### **ABSTRACT**

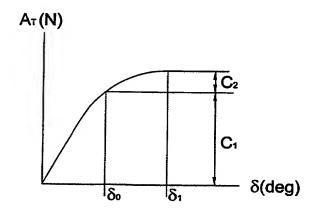
A vehicle where respective inwardly inclined wheels (15) of a steerable wheelset run on respective inwardly sloping faces (54) of a guideway having centreline (39). The vehicle having sensing means for sensing lateral displacement of the wheelset relative to a longitudinal reference path. The sensing means signalling a control system including actuating means to steer the wheelset in response to sensed lateral displacement thereof.



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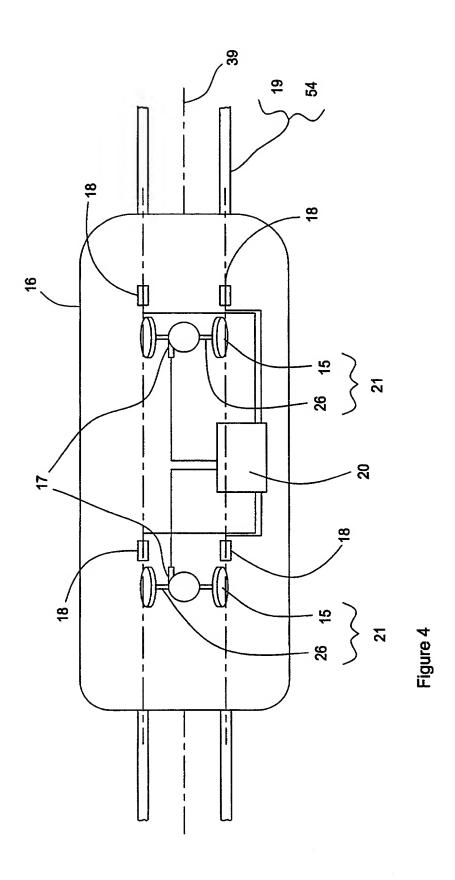


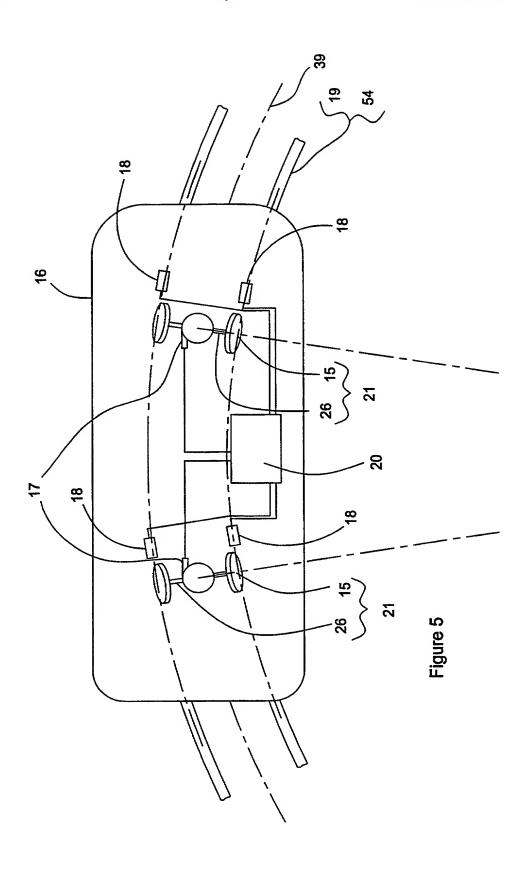
PRIOR ART Figure 2

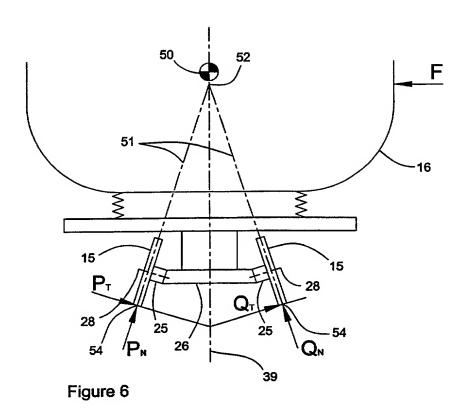


PRIOR ART Figure 3

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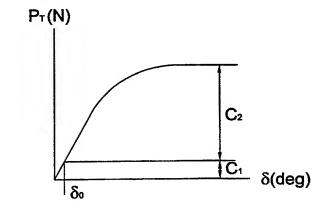
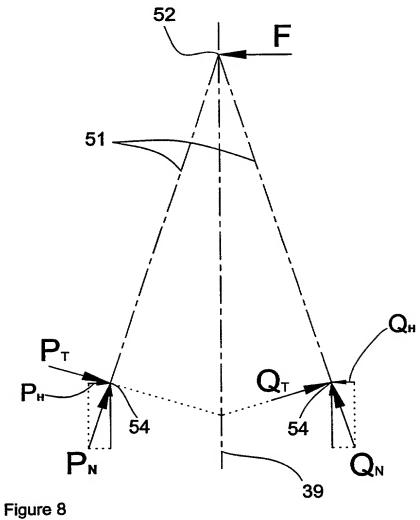
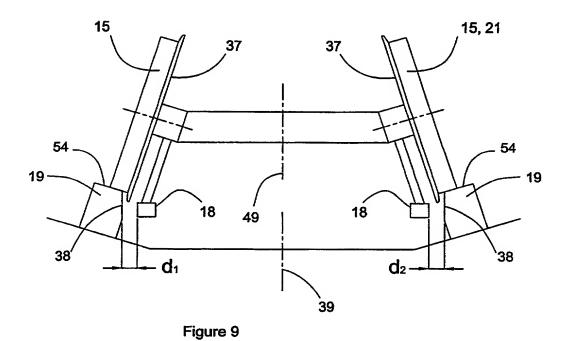


Figure 7







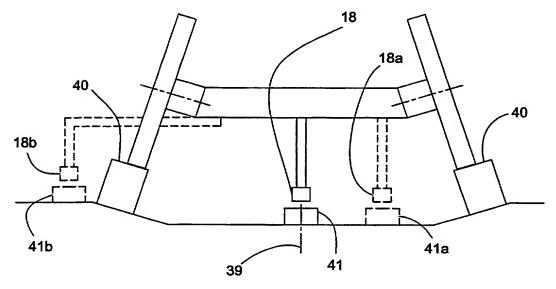


Figure 10

Docket: CU-2825

### **COMBINED DECLARATION AND POWER OF ATTORNEY**

	(ORIGINAL, DESIGN, NATIONAL STAGE OF PCT, SUPPLEMENTAL, DIVISIONAL, CONTINUATION OR CIP)
Asab	elow named inventor, I hereby declare that:
	TYPE OF DECLARATION
This d	eclaration is of the following type: (check one applicable item below)
*	original design supplemental
Note:	If the Declaration is for an International Application being filed as a divisional, continuation of continuation-in-part application, do <u>not</u> check next item; check appropriate one of last three items.
	national stage of PCT
Note:	If one of the following 3 items apply, then complete and also attach ADDED PAGES FOR DIVISIONAL, CONTINUATION OR CIP.
3.	divisional continuation continuation-in-part (CIP)
4	INVENTORSHIP IDENTIFICATION
WARNI	NG: If the inventors are each not the inventors of all the claims, an explanation of the facts, including the ownership of all the claims at the time the last claimed invention was made, should be submitted.
believ origin	sidence, post office address and citizenship are as stated below, next to my name. It is that I am the original, first and sole inventor (if only one name is listed below) or an al, first and joint inventor (if plural names are listed below) of the subject matter that is ed, and for which a patent is sought on the invention entitled:
	TITLE OF INVENTION
	A VEHICLE WITH A STEERABLE WHEELSET

#### SPECIFICATION IDENTIFICATION

	the specification of which: (complete (a), (b) or (c))	
	(a) is attached hereto.	
	(b) was filed on as _ Serial No or or Express Mail No. (as Serial No. not yet known)	
	and was amended on(if applicable).	
	Note: Amendments filed after the original papers are deposited with the PTO that contain new matter are accorded a filing date by being referred to in the Declaration. Accordingly, the amendments invo are those filed with the application papers or, in the case of a supplemental Declaration, are t amendments claiming matter not encompassed in the original statement of invention or claims. Se CFR 1.67.	olved hose
	(c) was described and claimed in PCT International Application PCT/AU00/00898 filed on 28 July 2000.	No.
	ACKNOWLEDGEMENT OF REVIEW OF PAPERS AND DUTY OF CANDOR	
	I hereby state that I have reviewed and understand the contents of the above-identic specification, including the claims, as amended by any amendment referred to above.	fied
	I acknowledge the duty to disclose information, which is material to patentability as defi in 37, Code of Federal Regulations, § 1.56,	ined
	(also check the following items, if desired)	
•	and which is material to the examination of this application, namely, information where there is a substantial likelihood that a reasonable Examiner we consider it important in deciding whether to allow the application to issue a patent, and	ould
	in compliance with this duty, there is attached an information discloss statement, in accordance with 37 CFR 1.98.	sure
	PRIORITY CLAIM (35 U.S.C. § 119(a)-(d))	
	Thomber along forcing majority houseful and a Title 25 Haited States Code \$ 110(a) (d	) ^¢

I hereby claim foreign priority benefits under Title 35, United States Code, § 119(a)-(d) of any foreign application(s) for patent or inventor's certificate or of any PCT international application(s) designating at least one country other than the United States of America listed below and have also identified below any foreign application(s) for patent or inventor's certificate or any PCT international application(s) designating at least one country other than the United States of America filed by me on the same subject matter having a filing date before that of the application(s) of which priority is claimed.

	(complete	(d)	or	(e))

(d)	no	such	applications	have	been	filed.

 $\boxtimes$  (e) such applications have been filed as follows.

Note: Where item (c) is entered above and the international application which designated the U.S. itself claimed priority check item (e), enter the details below and make the priority claim.

# PRIOR FOREIGN/PCT APPLICATION(\$) FILED WITHIN 12 MONTHS (6 MONTHS FOR DESIGN) PRIOR TO THIS APPLICATION AND ANY PRIORITY CLAIMS UNDER 35 U.S.C. § 119(a)-(d)

COUNTRY (OR INDICATE IF PCT	APPLICATION NUMBER	DATE OF FILING (day/month/year)	PRIORITY CLAIMED UNDER 35 USC 119
Australia	PQ 2103	10 August 1999	⊠ YES NO □
Australia	PQ 4352	01 December 1999	⊠ YES NO □

# CLAIM FOR BENEFIT OF PRIOR U.S. PROVISIONAL APPLICATION(S) (35 U.S.C. § 119(e))

I hereby claim the benefit under Title 35, United States Code, § 119(e) of any United States provisional application(s) listed below:

PROVISIONAL APPLICATION NUMBER	FILING DATE

# ALL FOREIGN APPLICATION(S), IF ANY, FILED MORE THAN 12 MONTHS (6 MONTHS FOR DESIGN) PRIOR TO THIS U.S. APPLICATION

Note: If the application filed more than 12 months from the filing date of this application is a PCT filing forming the basis for this application entering the United States as (1) the national stage or (2) a continuation, divisional, or continuation-in-part, then also complete ADDED PAGES TO COMBINED DECLARATION AND POWER OF ATTORNEY FOR DIVISIONAL, CONTINUATION OR CIP APPLICATION for benefit of the prior U.S. or PCT application(s) under 35 U.S.C. § 120.

#### **POWER OF ATTORNEY**

I hereby appoint the following practitioner(s) to prosecute this application and transact all business in the Patent and Trademark Office connected therewith (list name and registration number).

Thomas F. Peterson, <u>24790</u>; Richard J. Streit, <u>25765</u>; Donald P. Reynolds, <u>2620</u>; W. Dennis Drehkoff, <u>27193</u>; Vangelis Economou, <u>32341</u>; Brian W. Hameder, <u>45613</u>; Valerie Neymeyer-Tynkov, <u>46956</u>; Paul B. West, <u>18947</u>; Joseph H. Handelman, <u>26179</u>; Peter D. Galloway <u>27885</u>; John Richards, <u>31503</u>; Iain C. Baillie, <u>24090</u>; Richard P. Berg, <u>28145</u>

Attached, as pa	art of this declara	ation	and pow	er of	attorney,	is the authoriz	ation o	f the
above-named	practitioner(s)	to	accept	and	follow	instructions	from	my
representative(	s).							

#### SEND CORRESPONDENCE TO:

DIRECT TELEPHONE CALLS TO:

(Name and telephone number)

Richard J. Streit c/o Ladas & Parry 224 South Michigan Avenue Suite 1200 Chicago, Illinois 60604

(312) 427-1300

#### **DECLARATION**

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

#### SIGNATURE(S)

Note: Carefully indicate the family (or last) name, as it should appear on the filing receipt and all other documents.

#### Full name of sole inventor

Scott (Given Name)	Phillip Neale	TAYLOR
(Given Name)	(Middle Initial or Name)	(Family (or Last) Name)
Inventor's signature Surjay		
Date 14 FEBRUARY 2002 Country of Citizenship Australia		
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